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- (2) Information Disclosure Statement and PTO/SB/08a form (4 sheets)
- (3) 6 Cited References
- (4) Postcard

Signature

Daniel D. Sierchio (19008-39)

Typed or printed name of person signing Certificate

53,591

Registration Number, if applicable

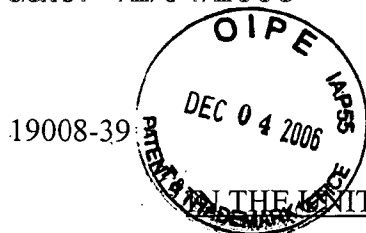
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*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*



UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
: Examiner: N/A  
William GADDY et al. )  
: TC/Art Unit: N/A  
Application No.: 10/590,781 )  
:   
Filed: August 25, 2006 )  
:   
For: SYSTEM AND METHOD FOR )  
PEER-TO-PEER CONNECTION OF )  
CLIENTS BEHIND SYMMETRIC )  
FIREWALLS : November 28, 2006

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed PTO/SB/08a form. Copies of the cited U.S. published applications are not enclosed. Copies of the other cited references, however, are enclosed.

It is respectfully requested that the PTO/SB/08a form be initialed and returned, indicating that the cited documents have been considered.

19008-39

November 28, 2006

It is believed that no fee is due. However, if a fee is deemed necessary, the Commissioner is authorized to charge any required fees to Deposit Account 501358. A copy of this fee authorization is submitted for accounting purposes.

Applicants' undersigned attorney may be reached by telephone at (973) 597-2500.

All correspondence should continue to be directed to our address listed below.

Respectfully submitted,

A handwritten signature in black ink, reading "Daniel D. Sierchio". The signature is written in a cursive style with a large, looping initial "D".

Daniel D. Sierchio  
Attorney for Applicants  
Registration No. 53,591

**DOCKET ADMINISTRATOR**  
Lowenstein Sandler PC  
65 Livingston Avenue  
Roseland, NJ 07068

PTO/SB/08a (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

**Complete if Known**

Application Number	10/590,781
Filing Date	8/25/06
First Named Inventor	William GADDY et al.
Art Unit	N/A
Examiner Name	N/A
Attorney Docket Number	19008-39

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	1	US-6,978,383	12-20-05	BOYLE et al.	
		US-			
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner  
Signature

/Clayton Williams/

Date  
Considered

10/08/2009

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08B (07-05)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/590,781
Filing Date	8/25/06
First Named Inventor	William GADDY et al.
Art Unit	N/A
Examiner Name	N/A
Attorney Docket Number	19008-39

Sheet 2

of

2

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	Section 5.1 of "RFC 3027 - Protocol Complications with the IP Network Address Translator" (January 12, 2001).	
	2	Section 5.1 of "draft-ietf-nat-protocol-complications-06.txt" (October 10, 2000).	
	3	Section 5.1 of "draft-ietf-nat-protocol-complications-04.txt" (July 18, 2000).	
	4	Section 3.1 of "draft-ietf-nat-protocol-complications-01.txt" (June 25, 1999).	
	5	"NAT and peer-to-peer networking" (July, 17 1999).	
	6	USENET comp.dcom.modems.cable, comp.os.linux.networking "MediaOne - great cable modems, but they don't like Linux " (November 8, 1998 to, November 17, 1998).	

Examiner  
Signature

/Clayton Williams/

Date  
Considered

10/08/2009

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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